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IAP9/Rec'd PCT/PTO 15 SEP 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Hitoshi SAOMOTO et al.**

Application Number: **Not yet assigned**
(§371 of international application No. PCT/JP2005/004699)

Filed: **September 15, 2006**

For: **SEMICONDUCTOR LASER ELEMENT AND MANUFACTURING
METHOD THEREOF**

Attorney Docket Number: **062998**
Customer Number: **38834**

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

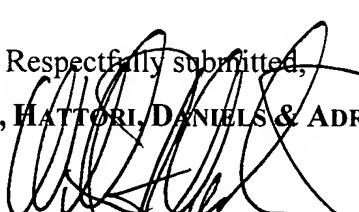
September 15, 2006

Sir:

In compliance with 37 C.F.R. §1.56, Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached PTO/SB/08. A copy of each non- U.S. document is enclosed herewith.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP


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Enclosure: PTO/SB/08, 5 documents and international search report.

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Combined Form PTO/SB/08A&B				<i>Complete if Known</i>	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Application Number	New Application
				Confirmation Number	
				Filing Date	September 15, 2006
				First Named Inventor	Hitoshi SAOMOTO et al.
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	062998

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code ² (if known)		
	1	US 6,411,642	B1	06-25-2002	Mohammad A. MAZED
	2	US 2002/0028390	A1	03-07-2002	Mohammad A. MAZED
	US				

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code ³	Number ⁴		
	3	JP	2001-517866	A	10-09-2001
	4	EP	1021749	A1	07-26-2000
	5	CA	2302791	A1	04-01-1999
	6	JP	2001-230498	A	08-24-2001
	7	JP	11-145558	A	05-28-1999
					Quantum Devices, Inc.
					Quantum Devices, Inc.
					Quantum Devices, Inc.
					Toyoda Gosei Co., Ltd.
					Hitachi Ltd.
					Abstract, cited in ISR
					Yes, cited in ISR
					Yes, cited in ISR
					Abstract, cited in ISR
					Abstract, cited in ISR

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.			Translation ⁶
	8	International Search Report of PCT/JP2005/004699, date of mailing June 21, 2005			

Examiner Signature	Date Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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